

FLORIN PENSIONS

Authorization Form



This form authorizes Florin Pensions LLC ("Florin") to investigate your existing UK pension plan(s). We do not charge you any fee to complete this research. If we find assets in your existing UK pension plan(s), we can then prepare a pension review for you setting out our recommendations for managing these assets. Should you wish to proceed with our recommendations, and become a client of Florin under Florin's Pension Advisory Agreement (a "Client"), we will then continue to assist you with your UK pension plan. Please complete all the required fields marked with * and as many of the other fields as you are able to.

All this information will be kept confidential in accordance with Florin's privacy policy, a copy of which is attached.

1. About you

*First name: _____

Middle name(s): _____

*Surname: _____

*Married or maiden name(s) at time of employment in the UK (if applicable): _____

*Date of birth: _____

*UK National Insurance number: _____

When did you cease to be a UK tax resident?: _____

How did you find out about Florin?: _____

2. Your current contact details

*Address: _____

*Main telephone number: _____

Best time to contact main number: _____

3. Your UK pension plan(s)

Occupational pension(s) _____

*Do you belong to a UK occupational pension plan?: _____

How many UK occupational pension plans do you belong to?: _____

Employer 1 name and address: _____

Dates you were employed by employer 1: _____

Employer 2 name and address: _____

Dates you were employed by employer 2: _____

Employer 3 name and address: _____

Dates you were employed by employer 3: _____

Please include any additional information in the space provided overleaf.

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Authorization Form Privacy of Client Information

At Florin Pensions LLC ("we" or "our"), maintaining the trust and confidence of our clients is of paramount importance. We are committed to safeguarding your personal information and providing you with facts and options about how this information may be shared. Please read this notice to learn more about our privacy policy and the options available to you.

This notice replaces all previous statements of our privacy policy and may be amended at any time. We will provide clients with annual reminders of our policy and with a revised policy if there are any changes in how we handle your personal information. If you end your relationship with us, we will continue to adhere to the policies and practices described in this notice and any pension advisory agreement you have entered into with us. If you have any questions about this privacy policy you may call us at (415) 230-5377.

Information that we collect

As part of providing you with our services we obtain non-public personal information about you which may include the following:

- Your name, address and contact details;
- Your date of birth and UK national insurance number;
- Your investment objectives and financial circumstances;
- Your pension fund investments and valuations; and/or
- Transactions associated with your pension fund.

Information that we share

We, along with our affiliates, use or share information in a limited and carefully controlled manner. Our policy is not to disclose any non-public personal information about our clients or former clients to any unaffiliated third party unless such disclosure is: (i) authorized by you; or (ii) permitted or required by law; or (iii) necessary to provide the financial services that you request or authorize.

Examples of instances in which we may share your information with third parties include:

- Disclosure to companies that provide services necessary to effect a transaction that you request or to service your pension such as pension plan providers/trustees, fund managers, brokers, accountants, banks, attorneys or administrators; and
- Disclosure to government agencies, courts, parties to lawsuits, or regulators in response to subpoenas. In such cases, we share only the information that we are required or authorized to share.

Confidentiality and Security

The security of your personal information is important to us. Our employees have limited access to your personal information based upon their job responsibilities. All employees are instructed to protect the confidentiality of your personal information as described in this policy. In addition, we maintain physical, electronic and procedural security measures that we believe are reasonably designed to protect the security and confidentiality of your non-public personal information.